FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

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Vashington,	D.C.	20549

STATEMENT	OF	CHANGES	IN BENEFICIA	L OWNE	RSHIP
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OMB APPROVAL									
OMB Number: 3235-0									
Estimated average burden									
hours per respons	e 0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Raffin Thomas A.					2. Issuer Name and Ticker or Trading Symbol  LUMOS PHARMA, INC. [ (LUMO) ]									k all app Direc	tor	ng Pers	10% O	wner	
(Last) (First) (Middle) 4200 MARATHON BLVD.						3. Date of Earliest Transaction (Month/Day/Year) 08/25/2022									Office	er (give title		Other ( below)	specify
SUITE 200						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)	TN	7	8756											X	Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City)	(Sta	ate) (Z	ľip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execution Date,		3. Transaction Code (Instr. 8)  4. Securities Acquired Disposed Of (D) (Instr. 5)		uired (A) Instr. 3, 4	, 4 and Secur Benet		ially Following	Form:	nership : Direct · Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
								Code	v	Amount	(A) (D)	or Pri	rico Tran		action(s) 3 and 4)			(111511. 4)	
Common	Stock			08/25/2	2022		P		1,150	A	\$	8.46	9,406(1)			D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date, ecurity or Exercise (Month/Day/Year) if any		4. Transa Code ( 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amoun or Numbo of Title Shares		Dei Sec (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y C	IO. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

1. Includes 237 restricted stock units ("RSUs") previously reported as holdings of the Reporting Person granted under the Issuer's 2009 Equity Incentive Plan (the "Plan"). The RSUs will vest, and shares will be delivered to the Reporting Person in a single installment on April 3, 2023, provided that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.

## Remarks:

/s/ Ryan Trytten, attorney-in-

08/26/2022

fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.