FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|
| OMB Number: | 3235-0104 | | | | | | |
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| hours per response: | 0.5 | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| M. T. T. 1 C | | | 2. Date of Event Requiring Statement (Month/Day/Year) 03/18/2020 3. Issuer Name and Ticker or Trading Symbol LUMOS PHARMA, INC. [(LUMO)] | | | | | | | | | |
|---|----------------------------|----------------|---|--|--|--|------------------------------------|---|--|--|--|--|
| (Last) 4200 MARATHON SUITE 200 (Street) AUSTIN (City) | (First) N BLVD TX (State) | 78756 (Zip) | | | | Relationship of Reporting Person(s) to Ist (Check all applicable) Director X Officer (give title below) Chief Scientific O | 10% Owner Other (specify | | If Amendment, Date of Original Filed (Month/Day/Year) If Amendment, Date of Original Filed (Month/Day/Year) If Amendment, Date of Original Filed (Month/Day/Year) If Amendment, Date of Original Filed Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | | | | |
| | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | 1. Nature of Indirect Beneficial Ownership (Instr. 5) | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | | | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise | or Indirect (I) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| | | [| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Price of Derivative Security | (Instr. 5) | | | | |
| Stock Option (Righ | it to Buy) | | | 03/04/2017 ⁽¹⁾ | 07/11/2026 | Common Stock | 81,690 | 4.82 | D | | | |
| Stock Option (Right to Buy) 12/05/2018 ⁽²⁾ 01/18/202 | | | 01/18/2028 | Common Stock | 9,583 | 2.45 | D | | | | | |
| Stock Option (Right to Buy) 08/29/2018 ⁽³⁾ 08/ | | | 08/29/2028 | Common Stock | 6,541 | 2.45 | D | | | | | |

- 1. The shares subject to the option became fully vested and exercisable March 4, 2020.
- 2. One-fourth of the shares subject to the option continue to vest in 36 substantially equal monthly installments, subject to the reporting person's continued service with the Issuer and subject to acceleration upon the occurrence of certain events.
- 3. The shares subject to the option became fully vested and exercisable on the grant date, August 29, 2018.

Remarks:

/s/ Ryan Trytten, attorney-in-fact

03/30/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY FOR SECTION 16(a) REPORTING
KNOW ALL PERSONS BY THESE PRESENTS, that the undersigned hereby makes, constitutes and appoints each Carl W. Langren, Lori Lawley, Bradley J. I

- 1. prepare, execute, deliver and file with the United States Securities and Exchange Commission, any national securities exchange and the Co
- seek or obtain, as the undersigned's representative and on the undersigned's behalf, information on transactions in the Company's equity The undersigned acknowledges that:
- 1. this Limited Power of Attorney authorizes, but does not require, the Attorney-in-Fact to act at his or her discretion on information pro
- any documents prepared and/or executed by the Attorney-in-Fact on behalf of the undersigned pursuant to this Limited Power of Attorney w. 2.
- neither the Company nor the Attorney-in-Fact assumes any liability for the undersigned's responsibility to comply with the requirements (3.
- 4. this Limited Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations undersigned to the undersigned from responsibility for compliance with the undersigned from responsibility for compliance with the undersigned from The undersigned hereby grants to the Attorney-in-Fact full power and authority to do and perform each and every act and thing requisite, necess IN WITNESS WHEREOF, the undersigned has caused this Limited Power of Attorney for Section 16(a) Reporting to be executed as of March 18, 2020.

Signature: /s/ John McKew Print Name: John McKew