FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549	

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     McCracken Joseph S						2. Issuer Name and Ticker or Trading Symbol LUMOS PHARMA, INC. [ (LUMO) ]									(Ch	eck all app  X Direc	icable) or	ng Per	son(s) to Is	wner
	ARATHON	,	(Middle)													Office below	r (give title )		Other ( below)	specify
(Street) AUSTIN (City)	T2		78756 (Zip)		4. 11	f Ame	ndment,	Date	of Or	riginal F	-iled	(Month/D	ay/Ye	ar)	Lin	e) <mark>X</mark> Form	filed by On	e Rep	g (Check Ap orting Perso n One Repo	on
		Tab	le I - Nor	n-Deriv	ative	Se	curitie	s Ac	qui	ired, I	Disp	osed o	of, o	r Ben	eficial	ly Owne	d			
Date					Execution Date (bay/Year) if any		xecution Date,		Code (Instr. 5)				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									\[\frac{1}{2}\]	Code	v	Amount		(A) or (D)	Price	Transa (Instr. 3	ction(s)			(111501.4)
Common	Stock			05/2	0/202	1				Α		367	1)	A	\$0	1,	076 <sup>(2)</sup>		D	
		Т	able II - I									sed of onverti				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Day	Date,	Code (Inst				6. Date Exercisal Expiration Date (Month/Day/Year			Amo Secu Unde Deriv	7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exe	e ercisable		kpiration ate	Title		Amount or Number of Shares					
Stock Option (Right to Buy)	\$10.36	05/20/2021			A		2,910			(3)	05	5/19/2031	Com Sto		2,910	\$0	2,910	)	D	

## **Explanation of Responses:**

- 1. Represents shares issued as restricted stock units ("RSUs") under the Issuer's 2010 Non-Employee Director Stock Award Plan (the "Plan") that were received as an award, for no consideration. The RSUs vest in a single installment on the earlier of (i) the first anniversary of the date of grant and (ii) the date of the first Annual Meeting following the date of grant, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 2. Includes 473 RSUs previously reported as holdings of the Reporting Person granted under the Plan. The RSUs will vest, and shares will be delivered to the Reporting Person in a series of two successive annual installments with the next installment occurring on April 3, 2022, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 3. Grant to the Reporting Person of a nonstatutory stock option under the Plan. One hundred percent (100%) of the shares shall vest on the earlier of (i) the first anniversary of the date of grant and (ii) the date of the first Annual Meeting following the date of grant, in each case subject to the Reporting Person's continuous service as of such date. The option expires ten years after the date of grant.

## Remarks:

/s/ Ryan Trytten, attorney-in-05/24/2021 <u>fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.